

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Allan P. HENDERSON

Serial No.: 09/671,282

Group Art Unit: 3635

Filed: September 27, 2000

## For: PERIMETER WEIGHTED FOUNDATION FOR WIND TURBINES AND THE LIKE

## **INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached. The Examiner is requested to make these references of record in the file of this application. The foreign publications were cited in an European Search Report (copy enclosed) of a copending European application of U.S. Patent No. 5,826,387, and their relevance is discussed therein.

In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits of the above-identified application. In the event this Information Disclosure Statement is

filed after the mailing date of a first Office Action on the merits but before the mailing date of either a Final Action under 37 CFR §1.113 or a Notice of Allowance under 37 CFR §1.311, please charge the fee of \$240.00, as set forth in 37 CFR §1.17(p), to Deposit Account No. 06-1358. A duplicate copy of this paper is enclosed.

Respectfully submitted,

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Atty. Dkt. No.: P64761US0  
HBJ/dlj  
Date: January 17, 2001

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## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P64761US0  
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APPLICANT(S): Allan P. HENDERSON

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	SUB- CLASS	FILING DATE (If Appropriate)
AA	<u>1,048,993</u>	<u>12/31/12</u>	<u>Meriwether</u>		
AB	<u>2,162,108</u>	<u>6/13/39</u>	<u>Newman</u>	<u>61</u>	<u>57</u>
AC	<u>2,374,624</u>	<u>4/24/45</u>	<u>Schwendt</u>	<u>72</u>	<u>77</u>
AD	<u>2,706,498</u>	<u>4/19/55</u>	<u>Upson</u>	<u>138</u>	<u>84</u>
AE	<u>2,724,261</u>	<u>11/22/55</u>	<u>Rensaa</u>	<u>72</u>	<u>107</u>
AF	<u>3,186,181</u>	<u>6/1/65</u>	<u>Snow et al.</u>	<u>61</u>	<u>53.5</u>
AG	<u>3,382,680</u>	<u>5/14/68</u>	<u>Takano</u>	<u>61</u>	<u>56</u>
AH	<u>3,559,412</u>	<u>2/2/71</u>	<u>Fuller</u>	<u>61</u>	<u>53.6</u>
AI	<u>3,600,865</u>	<u>8/24/71</u>	<u>Vanich</u>	<u>52</u>	<u>73</u>
AJ	<u>3,839,874</u>	<u>10/8/74</u>	<u>Wyant</u>	<u>61</u>	<u>53.52</u>
AK	<u>3,842,608</u>	<u>10/22/74</u>	<u>Turzillo</u>	<u>61</u>	<u>53.52</u>

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AL	<u>27 58 489</u>	<u>11/23/78</u>	<u>Germany</u>		<u>:</u> X
AM	<u>1,463,696</u>	<u>1/17/66</u>	<u>France</u>		<u>:</u> X
AN	<u>2,030,478</u>	<u>11/2/70</u>	<u>France</u>		<u>:</u> X
AO					<u>:</u>
AP					<u>:</u>
AQ					<u>:</u>
AR					<u>:</u>

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AS Patent Abstracts of Japan, 55122916, 9/22/80, Keizo

AT Patent Abstracts of Japan, 59150830, 8/29/84, Asao

AU Patent Abstracts of Japan, 61233131, 10/17/86, Kazuichi

EXAMINER

DATE CONSIDERED

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BA	<u>3,963,056</u>	<u>6/15/76</u>	<u>Shibuya et al.</u>	<u>138</u>	<u>175</u>	
BB	<u>3,963,065</u>	<u>6/15/76</u>	<u>Dauwalder</u>	<u>151</u>	<u>41.76</u>	
BC	<u>4,038,827</u>	<u>8/2/77</u>	<u>Pryke</u>	<u>61</u>	<u>53.62</u>	
BD	<u>4,060,994</u>	<u>12/6/77</u>	<u>Chitis</u>	<u>61</u>	<u>53.6</u>	
BE	<u>4,228,627</u>	<u>10/21/80</u>	<u>O'Neill</u>	<u>52</u>	<u>295</u>	
BF	<u>4,232,846</u>	<u>11/11/80</u>	<u>Bressani</u>	<u>248</u>	<u>158</u>	
BG	<u>4,287,691</u>	<u>9/8/81</u>	<u>Guenther</u>	<u>52</u>	<u>97</u>	
BH	<u>4,618,287</u>	<u>10/21/86</u>	<u>Kinnan</u>	<u>405</u>	<u>232</u>	
BI	<u>4,842,447</u>	<u>6/27/89</u>	<u>Lin</u>	<u>405</u>	<u>243</u>	
BJ	<u>4,910,940</u>	<u>3/27/90</u>	<u>Grady, II</u>	<u>52</u>	<u>726</u>	
BK	<u>5,131,790</u>	<u>7/21/92</u>	<u>Simpson</u>	<u>405</u>	<u>236</u>	

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BL						:
BM						:
BN						:
BO						:
BP						:
BQ						:
BR						:

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 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BS	
BT	
BU	

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CA	<u>5,228,806</u>	<u>7/20/93</u>	<u>De Medieros, Jr. et al.</u>	<u>405/231</u>	
CB	<u>5,231,808</u>	<u>8/3/93</u>	<u>Angelette</u>	<u>52</u>	<u>122.1</u>
CC	<u>5,379,563</u>	<u>1/10/95</u>	<u>Tinsley</u>	<u>52</u>	<u>295</u>
CD	<u>5,586,417</u>	<u>12/24/96</u>	<u>Henderson et al</u>	<u>52</u>	<u>295</u>
CE	<u>5,678,382</u>	<u>10/21/97</u>	<u>Naito</u>	<u>52</u>	<u>745.21</u>
CF	<u>5,826,387</u>	<u>10/27/98</u>	<u>Henderson et al</u>	<u>52</u>	<u>295</u>
CG	<u>5,960,597</u>	<u>10/5/99</u>	<u>Schwager</u>	<u>52</u>	<u>223.4</u>
CH					
CI					
CJ					
CK					

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DOCUMENT NUMBER	DATE	COUNTRY	SUB- CLASS	TRANSLATION (YES) (NO)
CL				:
CM				:
CN				:
CO				:
CP				:
CQ				:
CR				:

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 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	
CT	
CU	

EXAMINER

DATE CONSIDERED

<b>Notice of References Cited</b>	Application/Control No.	Applicant(s)/Patent Under Reexamination
	10/067,999	HENDERSON, ALLAN P.
	Examiner	Art Unit
	Chi Q Nguyen	3637
		Page 1 of 1

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A	US-5,878,540	03-1999	Morstein, Jerome Neil	52/296
B	US-5,625,988	05-1997	Killick, Andrew	52/298
C	US-5,761,875	06-1998	Oliphant et al.	52/721.2
D	US-3,916,635	11-1975	Lynch et al.	405/253
E	US-4,217,738	08-1980	Smith, Paul R.	52/40
F	US-6,216,414	04-2001	Feldberg, Michael L.	52/736.1
G	US-3,793,794	02-1974	Archer et al.	52/632
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I	US-			
J	US-			
K	US-			
L	US-			
M	US-			

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N	58-166195	10-1983	JP		F17C 3/00
O	6-316942	11-1994	JP		E02D 27/42
P					
Q					
R					
S					
T					

**NON-PATENT DOCUMENTS**

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
U	
V	
W	
X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

*Notice of References Cited*

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09/671,282

Applicant(s)/Patent Under

Reexamination

HENDERSON, ALLAN P.

Examiner

Chi Q Nguyen

Art Unit

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	A	US-5,826,387	12-1996	Henderson et al.	52	295
	B	US-6,270,308	11-1999	Groppel	415	4.3
	C	US-				
	D	US-				
	E	US-				
	F	US-				
	G	US-				
	H	US-				
	I	US-				
	J	US-				
	K	US-				
	L	US-				
	M	US-				

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	N					
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	P					
	Q					
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	S					
	T					

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